

Displayed categories

Benedikt Ahrens ¹

joint work with Peter LeFanu Lumsdaine²

¹Inria, France

²Stockholm University

Outline

- 1 Goals and background
- 2 Displayed category theory
- 3 Fibrations and comprehension categories
- 4 Univalence
- 5 Creation of limits

Outline

- 1 Goals and background
- 2 Displayed category theory
- 3 Fibrations and comprehension categories
- 4 Univalence
- 5 Creation of limits

Context

- Open problem: Initiality conjecture for dependently typed theories
 1. Develop notion of ‘signature’ for type theories
 2. Construct initial model for any signature
- Project with Peter Lumsdaine and Vladimir Voevodsky:
Comparing categorical structures for type theory
 - Categories with families
 - Type categories
 - Categories with display maps
 - Comprehension categories

and formalize results in (univalent) type theory.

Displayed categories help with two challenges encountered in this project.

Goals

Displayed categories help with two challenges:

Avoid reasoning about equality of objects of categories

Equality of objects used in classical formulations of several concepts:

- (Grothendieck) fibrations
- Creation of limits

Build categories of complex structures step-wise

- Toy example: category of groups from category of sets + extra structure
- Specifically: mathematical status for extra structure

Logical setting

Type theory with different possible interpretations

naïve: types interpreted as sets

univalent: types interpreted as simplicial sets

Some issues and results trivialize in naïve interpretation

- Transport along equalities
- Results on univalent categories

Type-theoretical background

- Type theory with Σ , Π , $=$, 0 , 1 , 2 , \mathbf{N} , \mathbf{U}
- Type A is **contractible** if has a unique inhabitant
- Type A is a **proposition** if all inhabitants are equal
- Type A is a **set** if all its identity types $a = a'$ are propositions

Results do not rely on univalence or Axiom K

Formalization

- Many of the results formalized, based on the UniMath library
- Available on <https://github.com/UniMath/TypeTheory>
- Ca. 5000 loc

Categories

A category \mathcal{C} is

- a type \mathcal{C}_0 of objects
- for any two objects $a, b : \mathcal{C}_0$, a **set** $a \rightarrow b$ of arrows
- for any $a : \mathcal{C}_0$, an arrow $1_a : a \rightarrow a$
- composition: $(a \rightarrow b) \times (b \rightarrow c) \longrightarrow (a \rightarrow c)$, denoted $f \cdot g$
- axioms postulating identities of arrows

Categories

A **univalent** category \mathcal{C} is

- a type \mathcal{C}_0 of objects
- for any two objects $a, b : \mathcal{C}_0$, a **set** $a \rightarrow b$ of arrows
- for any $a : \mathcal{C}_0$, an arrow $1_a : a \rightarrow a$
- composition: $(a \rightarrow b) \times (b \rightarrow c) \longrightarrow (a \rightarrow c)$, denoted $f \cdot g$
- axioms postulating identities of arrows
- such that the map

$$\text{idtoiso} : \prod_{a,b:\mathcal{C}_0} ((a = b) \rightarrow \text{Iso}(a,b))$$

is an equivalence ‘pointwise’, i.e., for any $a, b : \mathcal{C}_0$,

$$\text{idtoiso}_{a,b} : a = b \xrightarrow{\cong} \text{Iso}(a,b)$$

Outline

- 1 Goals and background
- 2 Displayed category theory**
- 3 Fibrations and comprehension categories
- 4 Univalence
- 5 Creation of limits

Displayed categories

Given a category \mathcal{C} , a **displayed category** \mathcal{D} **over** \mathcal{C} consists of

- for each $c : \mathcal{C}$, a type \mathcal{D}_c
- for each $f : a \rightarrow b$ of \mathcal{C} and $x : \mathcal{D}_a$ and $y : \mathcal{D}_b$, a **set** $\text{hom}_f(x, y)$
- for each $c : \mathcal{C}$ and $x : \mathcal{D}_c$, a morphism $1_x : \text{hom}_{1_c}(x, x)$
- for all $f : a \rightarrow b$ and $g : b \rightarrow c$ in \mathcal{C} and $x : \mathcal{D}_a$ and $y : \mathcal{D}_b$ and $z : \mathcal{D}_c$, a function

$$(\cdot) : \text{hom}_f(x, y) \times \text{hom}_g(y, z) \rightarrow \text{hom}_{f \cdot g}(x, z),$$

denoted by $(\bar{f}, \bar{g}) \mapsto \bar{f} \cdot \bar{g} : \text{hom}_{f \cdot g}(x, z)$

- **laws—well-typed modulo axioms of \mathcal{C}**

Total category of a displayed category

The **total category** $\int \mathcal{D}$ of \mathcal{D} over \mathcal{C}

- objects are pairs (a, x) where $a : \mathcal{C}$ and $x : \mathcal{D}_a$
- maps $(a, x) \rightarrow (b, y)$ are pairs (f, \bar{f}) where $f : a \rightarrow b$ and $\bar{f} : \text{hom}_f(x, y)$

Forgetful functor

$$\pi_1^{\mathcal{D}} : \int \mathcal{D} \rightarrow \mathcal{C}$$

Displayed categories over \mathcal{C} are the same as ‘a category and a functor into \mathcal{C} ’.

Examples

The **category of groups** is the total category of the displayed category grp , over set:

- $\text{grp}_X :=$ set of group structures on the set X
- for a function $f : X \rightarrow Y$ and group structures (μ, e) on X and (μ', e') on Y ,

$$\text{hom}_f((\mu, e), (\mu', e')) :=$$

f is a homomorphism with respect to $(\mu, e), (\mu', e')$

Similarly for **category of topological spaces**.

More examples

- Any category is displayed over $\mathbf{1}$.
- Given a predicate $P : \mathcal{C}_0 \rightarrow \text{type}$, setting $\mathcal{D}_c := P(c)$ and $\text{hom}_f(x,y) = 1$ yields

$$\int \mathcal{D} = \text{full subcategory spanned by } P$$

- If every displayed hom-set $\text{hom}_f(x,y)$ of \mathcal{D} is a proposition (inhabited, contractible) then $\pi_1 : \int \mathcal{D} \rightarrow \mathcal{C}$ is faithful (full, fully faithful).
- Total category of displayed (co)slice category is arrow category

$$\mathcal{C}^{\rightarrow} \simeq \int_{c:\mathcal{C}} \mathcal{C}/c \simeq \int_{c:\mathcal{C}} c \setminus \mathcal{C}$$

but the π_1 's are different.

Displayed functors

Let $F : \mathcal{C} \rightarrow \mathcal{C}'$ be a functor, and \mathcal{D} over \mathcal{C} and \mathcal{D}' over \mathcal{C}' .
A **(displayed) functor G from \mathcal{D} to \mathcal{D}' over F** consists of:

- for each $c : \mathcal{C}$, a map

$$G_c : \mathcal{D}_c \rightarrow \mathcal{D}'_{Fc}$$

- for each $f : c \rightarrow c'$ in \mathcal{C} , a map

$$\text{hom}_f(x, y) \rightarrow \text{hom}_{Ff}(Gx, Gy)$$

- dependent analogues of the usual functor laws

Induces **total functor** $\int G : \int \mathcal{D} \rightarrow \int \mathcal{D}'$ commuting with the forgetful functors.

Displayed X over X in the base, inducing X of total categories

For X being

- natural transformations
- adjunctions
- equivalences

In particular,

- displayed category of displayed functors from \mathcal{D} to \mathcal{D}' over category of functors from \mathcal{C} to \mathcal{C}'

Fibre categories

Given \mathcal{D} over \mathcal{C} and c an object of \mathcal{C} , define **fibre category** \mathcal{D}_c

- $(\mathcal{D}_c)_o := \mathcal{D}_c$
- $\text{hom}(x,y) := \text{hom}_{1_c}(x,y)$

But: displayed X do not generally restrict to X on fibres, requires well-behaved displayed category \mathcal{D}

Outline

- 1 Goals and background
- 2 Displayed category theory
- 3 Fibrations and comprehension categories**
- 4 Univalence
- 5 Creation of limits

Fibrations

Definition (cartesian lift, classically)

Given $F : \mathcal{D} \rightarrow \mathcal{C}$ and $f : c' \rightarrow c$ in \mathcal{C} and $d : \mathcal{D}_o$ such that $Fd = c$, a cartesian lift of (f, d) is an object $d' : \mathcal{D}_o$ with $Fd' = c'$ and a cartesian map $f' : d' \rightarrow d$ with $Ff' = f$.

Definition (cartesian lift in terms of displayed categories)

Given \mathcal{D} a displayed category over \mathcal{C} and $f : c' \rightarrow c$ in \mathcal{C} and $d : \mathcal{D}_c$, a cartesian lift of (f, d) is an object $d' : \mathcal{D}_{c'}$ and a cartesian map $\bar{f} : \text{hom}_f(d', d)$.

A **fibration** is a displayed category with a cartesian lift for any $f : c' \rightarrow c$ and $d : \mathcal{D}_c$.

Comprehension categories

Definition (comprehension category, classically)

$$\begin{array}{ccc} \mathcal{E} & \xrightarrow{\chi} & \mathcal{C}^{\rightarrow} \\ & \searrow p & \swarrow \text{cod} \\ & \mathcal{C} & \end{array} \quad \text{commuting **strictly**}$$

Definition (comprehension category via displayed categories)

- a fibration (in particular, displayed category) \mathcal{T} over \mathcal{C}
- a displayed functor $\mathcal{T} \rightarrow \mathcal{C}/-$ over identity functor on \mathcal{C}

Induces a strictly commuting triangle of functors

$$\begin{array}{ccc} \int \mathcal{T} & \xrightarrow{\chi} & \int_{c:\mathcal{C}} \mathcal{C}/c \\ & \searrow \pi_1 & \swarrow \pi_1 \\ & \mathcal{C} & \end{array}$$

Outline

- 1 Goals and background
- 2 Displayed category theory
- 3 Fibrations and comprehension categories
- 4 Univalence**
- 5 Creation of limits

Univalent displayed categories

- Given \mathcal{D} over \mathcal{C} and $i : c \cong c'$ in \mathcal{C} , write $\text{Iso}_i(d, d')$ for type of **displayed isomorphisms**
- For $e : c = c'$ and $d : \mathcal{D}_c$ and $d' : \mathcal{D}_{c'}$,

$$\text{idtoiso}_{e,d,d'} : (d =_e d') \rightarrow \text{Iso}_{\text{idtoiso}(e)}(d, d')$$

- Call \mathcal{D} **(displayedly) univalent** if $\text{idtoiso}_{e,d,d'}$ is an equivalence for all e, d, d' .

Lemma

\mathcal{D} displayedly univalent iff all fibre categories \mathcal{D}_c univalent

Structure Identity Principle

Theorem

Given \mathcal{D} over \mathcal{C} , if \mathcal{C} is univalent and \mathcal{D} is (displayedly) univalent, then $\int \mathcal{D}$ is univalent.

- Gives a modular way to show that categories of complicated structures are univalent.
- Structure Identity Principle (Aczel, Coquand & Danielsson) is a special case.

Outline

- 1 Goals and background
- 2 Displayed category theory
- 3 Fibrations and comprehension categories
- 4 Univalence
- 5 Creation of limits**

Creation of limits

Definition (classically)

A functor $F : \mathcal{A} \rightarrow \mathcal{B}$ **creates limits** of shape I if for any diagram $D : I \rightarrow \mathcal{A}$

- for any limit cone $C : \underline{B} \rightarrow FD$ on diagram FD there is a unique cone $C' : \underline{A} \rightarrow D$ such that $F(C') = C$
- C' is limit cone for D

Definition (in terms of displayed categories)

Let \mathcal{D} be a displayed category over \mathcal{C} and I a category. We say that \mathcal{D} creates limits of shape I if ...

A displayed category \mathcal{D} over a category \mathcal{C} creates limits (of shape I) if and only if the functor $\pi_1^{\mathcal{D}} : \int \mathcal{D} \rightarrow \mathcal{C}$ creates limits (of shape I) in the classical sense.

Creation of limits II

Lemma

Suppose the category \mathcal{C} has limits of shape I , and the displayed category \mathcal{D} over \mathcal{C} creates limits of shape I . Then $\int \mathcal{D}$ has all such limits, and $\pi_1^{\mathcal{D}} : \int \mathcal{D} \rightarrow \mathcal{C}$ preserves them.

Examples

- Given $F : \mathcal{C} \rightarrow \mathcal{C}$, the displayed category of F -algebras over \mathcal{C} creates limits. Same for monad algebras.
- The displayed category of groups over sets creates limits.

Future work

- Develop notion of *displayed limit* encompassing and generalizing the creation of limits
- Assemble displayed categories into a *displayed bicategory over the bicategory of categories*
- Displayed categories form a sort of 2-dimensional ‘category with display maps’, with displayed categories over \mathcal{C} being the ‘types in context \mathcal{C} ’ \rightsquigarrow directed type theory

Future work

- Develop notion of *displayed limit* encompassing and generalizing the creation of limits
- Assemble displayed categories into a *displayed bicategory over the bicategory of categories*
- Displayed categories form a sort of 2-dimensional ‘category with display maps’, with displayed categories over \mathcal{C} being the ‘types in context \mathcal{C} ’ \rightsquigarrow directed type theory

Thanks for your attention!